



Person-Centered Risk Management

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Welcome and Today's Agenda

- BDDS Mission
- Defining Risk/Dignity of Risk
- Risk Management
- Risk Assessment
- Risk Mitigation Plans
- Risk Review
- Questions



To connect people with disabilities and their families to resources and supports to live their best life.



Defining Risk

*the possibility of adverse consequences
by participating in, or lack thereof, a
given activity or situation*



All individuals, with and without disabilities, have the right to exercise
Dignity of Risk.

Dignity of Risk is having the right to choose
and either succeed or fail.



Video: Overprotection

<https://youtu.be/CCOGtOSXTvY>



Risk Management

Managing any risk begins with learning what is "important to" the person as well as what is "important for" and helping to find a good balance between them. Often risk is significantly diminished when our understanding of what the person wants deepens and we find reasonably safe ways for the person to get it. In other instances, understanding how important something is leads to better ways to support the person.

~ Michael Smull



Important To and Important For

Sorting Important To and
Important For
Video



Risk Management Cycle



Expected Outcomes

- All team members provide input
- Provide comprehensive information about the individual
- Identify duplication of plans/supports
- Assist in documenting in PCISP

Identification of Potential Risks

Risk categories:

- Health
- Personal Safety
- Behavior

Risk Identification Sources:

- Individual, Family, Staff
- PCISP, BSP
- Providers, Case Managers
- Medical Documentation
- History



Identification of Potential Risks



Risk Issues Identification Tool

Name of Individual:	
Annual Meeting Date:	
Date Completed:	

Provider Name:	Service(s):
Name of Person Completing this Form:	Role:

Directions: This tool should be completed by **all IST members** supporting the person noted above prior to the annual team meeting. It is to be given to the Case Manager **no less than 5 days** prior to the annual team meeting date.

- Identify individual risks that are **specific to the Individual**.
- Include factual and detailed information as to why the noted area **currently** presents a particular risk to this Individual, or how the issue has presented **significant** risk in the past and might impact the Individual currently.
- You may include a recommended strategy for managing or eliminating the risk, if desired.
- During the annual team meeting, **decisions** and plans, if needed, will be made around each risk identified.

Risk Issues Identification Tool

I. Individual Risks: Relevant to Health

√	Identified Risk Issue	Describe the incident(s) or issue(s) that indicates this as a current Risk?	Is this risk addressed somewhere now? If so, how?
	Lack of Mobility: <ul style="list-style-type: none"> • Lack of mobility that could result in skin breakdown/pressure sores. • Substantially limits access to home or community. 		
	Significant weight gain/loss or change in eating patterns: <ul style="list-style-type: none"> • Excessive weight loss or gain within the reporting year that is not intentional. • Weight loss so excessive that could be related to additional concerns 		



Risk Assessment

Identify:

- the nature of the risk
- the likelihood of occurrence
- the severity of consequences from the risk
- to determine if a risk mitigation plan is warranted

Goal: not to remove all risks that may exist in everyday life but to support the individual in navigating risks to reduce the negative outcomes and potential likelihood of the risk



Risk Assessment

Using a risk matrix

Risk Matrix		Likelihood of Occurrence				
		Rare (1)	Unlikely (2)	Possible (3)	Probable (4)	Almost Certain (5)
Severity	Negligible (1)	2	3	4	5	6
	Minor (2)	3	4	5	6	7
	Moderate (3)	4	5	6	7	8
	Serious (4)	5	6	7	8	9
	Major (5)	6	7	8	9	10

Risk Matrix

Severity Rating

Level	Severity	Description
1	Negligible	Routine operations can handle the concern. Consequences are not expected.
2	Minor	Little to no injury; participation in desired activities could be delayed; relationships may become strained; may run out of money.
3	Moderate	Injuries may require more than first aid; arrest or conflict with the law; loss of employment or desired activity; social isolation from family and friends.
4	Serious	Serious but temporary injury; inability to pay bills; imprisonment.
5	Major	Death or permanent disability

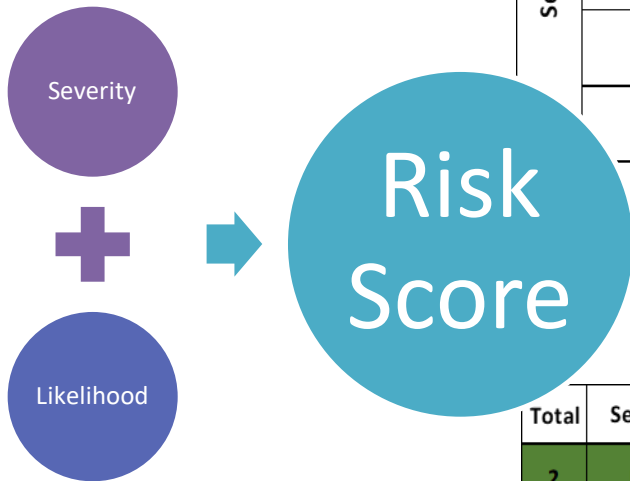
Rating Scales

Likelihood Rating

Level	Likelihood	Description
1	Rare	Little or no chance of occurrence ~ less than 5% probability
2	Unlikely	A rare combination of factors would be required for an incident to result ~ 10% probability
3	Possible	Not certain to happen but additional factors may result in an incident ~ 50% probability
4	Probable	Likely to occur ~ 75% probability
5	Almost Certain	Expected to occur ~ 95% probability



Risk Matrix



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	Minor (2)	3	4	5	6	7
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Total	Severity\Likelihood	Description
2	Negligible\Rare	Occurrence not expected and no harm/injury expected if there is an occurrence. Routine operations can handle without additional interventions.
3-4	Minor\Unlikely	Little to no injury possible, serious harm very unlikely; the IST should provide education on potential risks and informed choice to ensure care is taken when performing activity.
5	Moderate\Possible	May result in injury that requires more than first aid; the IST should provide education on potential risks but allow the person to choose the level of risk desired to achieve their good life.
6-7	Serious\Probable	Injury may be serious but temporary; the IST should critically assess the risks, provide education but allow the person to choose the level of risk desired to achieve their good life. As agreed upon by the IST, risk mitigation plans should be developed, staff trained, monitored, and adjusted as appropriate.
8-10	Major\Almost Certain	Serious injury or harm will probably occur; the IST should critically assess the risks, provide education but allow the person to choose the level of risk desired to achieve their good life. As agreed upon by the IST, risk mitigation plans should be developed, staff trained, monitored, and adjusted as appropriate.

Risk Mitigation Plan Determination

**Does a problem exist
that could be mitigated
to some extent by
implementing a risk
mitigation plan?**



Risk Mitigation Plan Determination

Is the Risk addressed in other plans or requirements?

Examples include:

- Medication Administration Plan - including staff training on side effects
- Behavior Support Plan
- Individual-specific training including how an individual communicates



Risk Mitigation Plan Determination

The IST must determine the following:

- what problem is needing to be addressed/solved;
- what would happen if a risk mitigation plan was not implemented;
- what action the IST decided to mitigate the risk;
- how it will be documented in the PCISP.



Risk Mitigation Plan Determination

If the IST decides the concern is:

- no longer a risk; or
- not determined to be a risk; or
- does not warrant a risk mitigation plan:

The team's decision should be clearly documented in the PCISP.



Developing the Risk Mitigation Plan

Key Points:

- One plan for each identified risk should be developed.
- The team should determine who will write the plan.
- All providers must implement the plan.
- If a team member disagree with components of the plan, it should be discussed with the entire team.



Implementing the Risk Mitigation Plan

- All DSPs must be trained on the plan
 - Documentation corresponding to the plan should be reviewed at least quarterly by the team
 - Adjustments to the plan should be made as needed
- At least annually, the team should discuss the continued need for the plan



Thank you!

