### INDIANA GAMING COMMISSION BUSINESS MEETING

September 29, 2021 Indiana Conference Center Auditorium & Live Stream Indianapolis, Indiana

### Call to Order and Roll Call:

Chairman Michael B. McMains called the meeting to order at 2:16 p.m. local time. A quorum was present.

### Present:

Commission members: Michael McMains, Chairman, Marc Fine, Susan Williams, and Chuck Cohen. Commission staff: Greg Small, Jenny Reske, Dennis Mullen, Robert Paugh, Robert Townsend, Dan Hirst, Danielle Leek, Dustin Moloy, Alex Dudley, Angela Bunton, Garth Brown, Robert Opat, Angeline Parshall, Kimi Simpson, Savannah Boettcher, William Quist, Jennifer Allen, Josie Conrad, Tiffany Corbin, and members of the public.

### Approval of the Minutes:

Upon motion by Marc Fine, second by Susan Williams, and unanimous vote of those present, the Commission approved the proposed minutes from the August 18, 2021, Business Meeting.

### Report of the Executive Director:

Executive Director Small began his report by providing a staffing update. The Indiana Gaming Commission promoted Dennis Mullen to the IGC General counsel. Jen Allen was hired as the Assistant Auditor Director and Savannah Boettcher as a legal extern. The investigations division completed four reinvestigations for SG Gaming, Blue Sky Casino, LLC, doing business as French Lick Resort and Casino, Novomatic America Sales, LLC, and International Game Technology. Since the May 2021 Business Meeting, the Commission has added 23 individuals to the Exclusion List and 15 waivers were granted.

**Exclusion List Updates:** Available on the IGC website.

Waiver of Rules and Regulations: Available on the IGC website.

Administering Oath to Presenters: Chairman Michael B. McMains administered an oath to Ted Mobly and Brenda Temple who presented at the commission meeting.

Old Business: None

### New Business:

### **Transfer of Ownership:**

## ORDER 2021-124 AN ORDER OF THE INDIANA GAMING COMMISSION APPROVING TRANSFER OF OWNERSHIP OF WSI US, LLC TO AUSTERLITZ ACQUISITION CORPORATION I

Action: Upon motion by Marc Fine, second by Chuck Cohen, and unanimous vote of those present, the Commission approved order 2021-124 regarding the transfer of ownership interest of WSI US, LLC to Austerlitz Acquisition Corporation I contingent upon compliance with all applicable state and local laws including but not limited to, Commission regulations, resolutions, orders, and/or other directives relating to the ownership and the lawful conduct or operation of gaming in Indiana

### **Patron Matters:**

### **Exclusion List Removal Petition:**

**Order 2021-125:** the petition for removal from the exclusion list of Freeman Davis. Findings of Fact and Recommendation conducted and submitted as required by Commission regulations. Recommendation denying removal from the exclusion list.

**Order 2021-126:** the petition for removal from the exclusion list of Brian Fisher. Findings of Fact and Recommendation conducted and submitted as required by Commission regulations. Recommendation denying removal from the exclusion list.

<u>Action:</u> Upon motion by Marc Fine, second by Susan Williams, and unanimous vote of those present, the Commission approved Order 2021-125 and Order 2021-126.

**Order 2021-127:** the petition for removal from the exclusion list of Tawfiq King. Findings of Fact and Recommendation conducted and submitted as required by Commission regulations. Recommendation granting removal from the exclusion list.

**Order 2021-128:** the petition for removal from the exclusion list of Jesus Dominguez. Findings of Fact and Recommendation conducted and submitted as required by Commission regulations. Recommendation granting removal from the exclusion list.

<u>Action:</u> Upon motion by Marc Fine, second by Susan Williams, and unanimous vote of those present, the Commission approved Order 2021-127 and Order 2021-128.

### License Renewals

### Supplier's Renewals:

### ORDER 2021-129 AN ORDER OF THE INDIANA GAMING COMMISSION CONCERNING RENEWAL OF SUPPLIER'S LICENSES

- 1) Kambi Group PLC: valid July 10, 2021 through July 9, 2022;
- 2) GeoComply Solutions, Inc.: valid July 10, 2021 through July 9, 2022;
- 3) Hard Rock Gary Manager, LLC: valid July 10, 2021 through July 9, 2022;
- 4) Galaxy Gaming, Inc.: valid August 4, 2021 through August 3, 2022;
- 5) NYX Digital Gaming (USA) LLC: valid August 6 2021 through August 5, 2022;
- 6) Gaming Partners International USA, Inc.: valid August 19 through August 18, 2022;
- 7) Neogames Solutions LLC: valid September 11, 2021 through September 10, 2022;
- 8) Everi Games, Inc., Inc.: valid September 15, 2021 through September 14, 2022;
- 9) GLP Capital, L.P.: valid September 19, 2021 through September 18, 2022;

<u>Action:</u> Upon motion by Chuck Cohen, second by Susan Williams, and unanimous vote of those present, the Commission granted renewal of the supplier licenses for a period of one year by adopting Order 2021-129

### Casinos Renewals:

#### **ORDER 2021-130**

### AN ORDER OF THE INDIANA GAMING COMMISSION RENEWING THE CASINO OWNER'S LICENSE AND THE WRITTEN POWER OF ATTORNEY FOR BLUE CHIP CASINO, LLC

Action: Upon motion by Chuck Cohen, second by Susan Williams, and unanimous vote of those present, the Commission adopted Order 2021-130 and grants renewal of Blue Chips's gambling game license for a period of one year subject to substantial compliance with all properly applicable state and local laws including, but not limited to, Commission regulations, resolutions, orders, or other directives relating to the gambling game license or lawful operation or conduct of gaming in Indiana. The renewal of the gambling game license is valid for a period of one year from August 18, 2021 through August 17, 2022. Furthermore, the Commission grants renewal of its approval of the written power of attorney identifying Ellis Landau as trustee-in-waiting for Blue Chip. The renewal of the Commission's approval expires upon the effectiveness of a subsequent Commission order that either: (1) addresses the renewal of Blue Chip's gambling game license; or (2) modifies or withdraws the approval as granted herein.

#### ORDER 2021-131

### AN ORDER OF THE INDIANA GAMING COMMISSION RENEWING THE CASINO OWNER'S LICENSE AND THE WRITTEN POWER OF ATTORNEY FOR GAMING ENTERTAINMENT (INDIANA), LLC

Action: Upon motion by Chuck Cohen, second by Susan Williams, and unanimous vote of those present, the Commission adopted Order 2021-131 and grants renewal of Gaming Entertainment's gambling game license for a period of one year subject to substantial compliance with all properly applicable state and local laws including, but not limited to, Commission regulations, resolutions, orders, or other directives relating to the gambling game license or lawful operation or conduct of gaming in Indiana. The renewal of the gambling game license is valid for a period of one year from September 16, 2021 through September 15, 2022. Furthermore, the Commission grants renewal of its approval of the written power of attorney identifying Ronald Gifford as trustee-in-waiting for Gaming Entertainment. The renewal of the Commission's approval expires upon the effectiveness of a subsequent Commission order that either: (1) addresses the renewal Gaming Entertainment gambling game license; or (2) modifies or withdraws the approval as granted herein.

### Sports Wagering Vendor's Renewals:

### ORDER 2021-132 AN ORDER OF THE INDIANA GAMING COMMISSION CONCERNING RENEWAL OF VENDOR'S LICENSES

- 1) Unibet Indiana LLC: valid July 30, 2021 through July 29, 2022;
- 2) Betfair Interactive US (Belterra vendor).: valid September 9, 2021 through September 8, 2022;
- 3) Betfair Interactive US (Blue Chip vendor): valid September 5, 2021: through September 4, 2022:
- 4) American Wagering, Inc. d/b/a William Hill Sports Book (Tropicana): valid September 12, 2021 through September 11, 2022;
- 5) Score Digital Sports Ventures, Inc.: valid September 17, 2021 through September 16, 2022:
- 6) Crown IN Gaming LLC d/b/a DraftKings: valid October 3, 2021 through October 2, 2022:
- 7) Rush Street Interactive IN, LLC: valid October 3, 2021 through October 2, 2022;

<u>Action:</u> Upon motion by Chuck Cohen, second by Susan Williams, and unanimous vote of those present, the Commission granted renewal of the vendors licenses for a period of one year by adopting Order 2021-132.

### Sports Wagering Certificate Holders:

### ORDER 2021-133 AN ORDER OF THE INDIANA GAMING COMMISSION CONCERNING RENEWAL OF CERTIFICATES OF AUTHORITY

- 1. Ameristar; valid September 1, 2021 through August 31, 2022;
- 2. Indiana Grand; valid September 1, 2021 through August 31, 2022;
- 3. Hollywood; valid September 1, 2021 through August 31, 2022;
- 4. Winner's Circle Indy; valid September 19, 2021 through September 18, 2022;
- 5. Horseshoe Hammond; valid September 4, 2021 through September 3, 2022;
- 6. Blue Chip; valid September 5, 2021 through September 4, 2022;
- 7. French Lick; valid September 6, 2021 through September 5, 2022;
- 8. Belterra; valid September 9, 2021 through September 8, 2022;
- 9. Tropicana; valid September 12, 2021 through September 11, 2022;
- 10. Caesars Southern Indiana; valid September 12, 2021 through September 11, 2022;
- 11. Winner's Circle Clarksville; valid September 12, 2021 through September 11, 2022;
- 12. Hoosier Park; valid September 3, 2021 through September 2, 2022;
- 13. Winner's Circle New Haven: valid September 19, 2021 through September 18, 2022;

<u>Action:</u> Upon motion by Chuck Cohen, second by Susan Williams, and unanimous vote of those present, the Commission granted renewal of the Certificate of Authority licenses for a period of one year by adopting Order 2021-133.

### Paid Fantasy Sports Operators:

### ORDER 2021-134 AN ORDER PROSPECTIVELY RENEWING THE PAID FANTASY SPORTS

Action: Upon motion by Chuck Cohen, second by Susan Williams, and unanimous vote of those present, the Commission adopted Order 2021-134. The Commission granted renewal of DraftKings, Inc. Paid Fantasy Sports Game Operator License for a period of one (1) year subject to DraftKings, Inc. maintaining its operations in substantial compliance with all properly applicable state and local laws including, but not limited to, Commission regulations, resolutions, orders and/or other directives relating to the license or the lawful operation of paid fantasy sports games in Indiana. The renewal of DraftKings, Inc. Paid Fantasy Sports Game Operator License is valid for a period of one year from June 29, 2021, through June 28, 2022.

GAME OPERATORLICENSE FOR DRAFTKINGS, INC

### ORDER 2021-135 AN ORDER PROSPECTIVELY RENEWING THE PAID FANTASY SPORTS GAME OPERATOR LICENSE FOR FANDUEL, INC

Action: Upon motion by Chuck Cohen, second by Susan Williams, and unanimous vote of those present, the Commission adopted Order 2021-135. The Commission granted renewal of FanDuel Inc., Paid Fantasy Sports Game Operator License for a period of one (1) year subject to FanDuel, Inc. maintaining its operations in substantial compliance with all properly applicable state and local laws including, but not limited to, Commission regulations, resolutions, orders and/or other directives relating to the license or the lawful operation of paid fantasy sports games in Indiana. The renewal of FanDuel Inc. Paid Fantasy Sports Game Operator License is valid for a period of one year from June 29, 2021, through June 28, 2022.

### ORDER 2021-136 AN ORDER PROSPECTIVELY RENEWING THE PAID FANTASY SPORTS GAME OPERATORLICENSE FOR FANTASYDRAFT, LLC

<u>Action:</u> Upon motion by Chuck Cohen, second by Susan Williams, and unanimous vote of those present, the Commission adopted Order 2021-136. The Commission granted renewal of FantasyDraft LLC Paid Fantasy Sports Game Operator License for a period of one (1) year subject to FantasyDraft LLC maintaining its operations in substantial compliance with all properly applicable state and local laws including, but not limited to, Commission regulations, resolutions, orders and/or other directives relating to the license or the lawful operation of paid fantasy sports games in Indiana. The renewal FantasyDraft LLC Paid Fantasy Sports Game Operator License is valid for a period of one year from June 29, 2021, through June 28, 2022.

### ORDER 2021-137 AN ORDER PROSPECTIVELY RENEWING THE PAID FANTASY SPORTS GAME OPERATORLICENSE FOR SPORTSHUB TECHNOLOGIES, LLC

Action: Upon motion by Chuck Cohen, second by Susan Williams, and unanimous vote of those present, the Commission adopted Order 2021-137. The Commission granted renewal of SportsHub Technologies' LLC Paid Fantasy Sports Game Operator License for a period of one (1) year subject to SportsHub Technologies' LLC maintaining its operations in substantial compliance with all properly applicable state and local laws including, but not limited to, Commission regulations, resolutions, orders and/or other directives relating to the license or the lawful operation of paid fantasy sports games in Indiana. The renewal of SportsHub Technologies' LLC Paid Fantasy Sports Game Operator License is valid for a period of one year from September 29, 2021 through September 28, 2022.

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Supplier's:

### AN ORDER OF THE INDIANA GAMING COMMISSION CONCERNING THE PERMANENT SUPPLIER LICENSE OF GALAXY GAMING, INC

<u>Action:</u> Upon motion by Marc Fine, second Chuck Cohen, and unanimous vote of those present, the Commission granted a supplier's license to Galaxy Gaming for a period of one year from September 29, 2021, through September 28, 2022, by adopting Order 2021-138.

### ORDER 2021-139 AN ORDER OF THE INDIANA GAMING COMMISSION CONCERNING THE PERMANENT SUPPLIER LICENSE OF NYX DIGITAL GAMING (USA) LLC

<u>Action:</u> Upon motion by marc Fine, second by Chuck Cohen, and unanimous vote of those present, the Commission granted a supplier's license to NYX Digital Gaming (USA) LLC for a period of one year from September 29, 2021, through September 28, 2022 by adopting Order 2021-139.

### Sports Wagering Vendor:

#### **ORDER 2021-140**

AN ORDER OF THE INDIANA GAMING COMMISSION CONCERNING THE PERMANENT SPORTS WAGERING VENDOR LICENSE OF BETMGM LLC

<u>Action:</u> Upon motion by Marc Fine, second by Chuck Cohen, and unanimous vote of those present, the Commission granted a vendor's license to BetMGM, LLC for a period of one year from February 25, 2021, through February 24, 2022, by adopting Order 2021-140.

### Permanent Sports Wagering Service Provider License:

#### **ORDER 2021-141**

AN ORDER OF THE INDIANA GAMING COMMISSION CONCERNING THE PERMANENT SPORTS WAGERING SERVICE PROVIDER LICENSE OF ASF, LTD d/b/a ANGSTROM SPORTS

#### ORDER 2021-142

AN ORDER OF THE INDIANA GAMING COMMISSION CONCERNING THE PERMANENT SPORTS WAGERING SERVICE PROVIDER LICENSE OF POWER LEISURE BOOKMAKERS LIMITED

### **ORDER 2021-143**

AN ORDER OF THE INDIANA GAMING COMMISSION CONCERNING THE PERMANENT SPORTS WAGERING SERVICE PROVIDER LICENSE OF SIMPLEBET, INC. D/B/A SIMPLEBET

## ORDER 2021-144 AN ORDER OF THE INDIANA GAMING COMMISSION CONCERNING THE PERMANENT SPORTS WAGERING SERVICE PROVIDER LICENSE OF SPORTS IQ ANALYTICS, INC.

<u>Action:</u> Upon motion by Marc Fine, second by Chuck Cohen, and unanimous vote of those present, the Commission granted a sports wagering service provider's license to Angstrom, Power Leisure, SimpleBet<sub>a</sub> and Sports IQ Analytics by adopting Orders 2021-141 through Order 2021-144.

### Supplier's License Matters

### **Disciplinary Actions:**

## ORDER 2021-145 IN RE SETTLEMENT AGREEMENT ARISTOCRAT TECHNOLOGIES 21-ATI-02

Order 2021-145 is a settlement agreement with Aristocrat Technologies and includes two counts. In count one, the supplier violated the rules of shipping electronic gaming device hardware. In count two, the suppler failed to notify the Commission of occupational licensees changing positions. Aristocrat has agreed to a monetary settlement of \$8,000 in lieu of disciplinary action.

## ORDER 2021-146 IN RE SETTLEMENT AGREEMENT EVERI PAYMENTS, INC 21-EVERI-02

Order 2021-146 is a settlement agreement with Everi Payments and includes one count. The supplier failed to submit a completed PD1 application to the Commission in a timely manner. Everi Payments has agreed to a monetary settlement of \$2,500 in lieu of disciplinary action

## ORDER 2021-147 IN RE SETTLEMENT AGREEMENT GAN (UK) LIMITED 21-GAN-01

Order 2021-147 is a settlement agreement with GAN (UK) Limited and includes two counts. In count one, the supplier allowed a terminated licensure to continue working in a position after terminating the licensure with the Commission. In count two, the supplier

failed to provide applicants with the proper skill sets as noted in 68 IAC 2-2-5.3(4). GAN (UK) has agreed to a monetary settlement of \$4,000 in lieu of disciplinary action.

## ORDER 2021-148 IN RE SETTLEMENT AGREEMENT IGT 21-IGT-02

Order 2021-148 is a settlement agreement with IGT and includes one count. The supplier violated the rules of shipping electronic gaming device hardware. IGT has agreed to a monetary settlement of \$3,000 in lieu of disciplinary action

## ORDER 2021-149 IN RE SETTLEMENT AGREEMENT NEOGAMES SOLUTIONS, LLC 21-NG-02

Order 2021-149 is a settlement agreement with Neogames Soultions and includes one count. The supplier violated the rules of timely providing documents deemed necessary to ensure an occupational license applicant meets the licensing criteria. Neogames Solutions has agreed to a monetary settlement of \$3,000 in lieu of disciplinary action

## ORDER 2021-150 IN RE SETTLEMENT AGREEMENT NRT TECHNOLOGY CORP. 21-NRT-02

Order 2021-150 is a settlement agreement with NRT Technology and includes one count. The supplier failed to have a vendor obtain and utilize an occupational license while working on the casino floor as required by the Commission. NRT Technology has agreed to a monetary settlement of \$21,000 in lieu of disciplinary action

## ORDER 2021-151 IN RE SETTLEMENT AGREEMENT PALA INTERACTIVE, LLC 21-PI-01

Order 2021-151 is a settlement agreement with PALA Interactive and includes one count. The supplier failed to properly maintain the sports wagering geolocation software resulting in a player making two wagers without a geolocation timestamp. PALA Interactive has agreed to a monetary settlement of \$2,500 in lieu of disciplinary action

## ORDER 2021-152 IN RE SETTLEMENT AGREEMENT PATRIOT GAMING, INC 21-PG-01

Order 2021-152 is a settlement agreement with Patriot Gaming and includes two counts. In count one, the supplier failed to timely notify the Commission of a termination. In count two, the supplier violated the rules of shipping electronic gaming device hardware. Patriot Gaming has agreed to a monetary settlement of \$2,000 in lieu of disciplinary action

# ORDER 2021-153 IN RE SETTLEMENT AGREEMENT SG GAMES, INC d/b/a SCIENTIFIC GAMES 21-SG-02

Order 2021-153 is a settlement agreement with Scientific Games and includes one count. The supplier failed to submit a completed PD1 application to the Commission in a timely manner. Scientific Games has agreed to a monetary settlement of \$3,000 in lieu of disciplinary action

## ORDER 2021-154 IN RE SETTLEMENT AGREEMENT THE UNITED STATES PLAYING CARD COMPANY 21-USPC-02

Order 2021-154 is a settlement agreement with United States Playing Card Company and includes two counts. In count one, the supplier failed to properly submit a PD1 license in a timely manner. In count two, the supplier failed to properly ship playing cards. The United States Playing Card Company has agreed to a monetary settlement of \$4,500 in lieu of disciplinary action.

## ORDER 2021-155 IN RE SETTLEMENT AGREEMENT WHITE HAT GAMING, INC. 21-WH-01

Order 2021-155 is a settlement agreement with White Hat Gaming and includes one count. The supplier exceeded the threshold for an institutional investor and did not notify the Commission. Due to these transactions two PD1 licenses were required, and the supplier

failed to submit those to the Commission. White Hat Gaming has agreed to a monetary settlement of \$10,000 in lieu of disciplinary action

## ORDER 2021-156 IN RE SETTLEMENT AGREEMENT ZUVID, LLC 21-ZUVID-01

Order 2021-156 is a settlement agreement with Zuvid and includes one count. The supplier released sensitive information of their surveillance area without first seeking the executive director's approval or the executive director's designee's approval as required. Zuvid has agreed to a monetary settlement of \$5,000 in lieu of disciplinary action.

### Casino License Matters

### **Disciplinary Actions:**

## ORDER 2021-157 IN RE SETTLEMENT AGREEMENT AMERISTAR CASINO EAST CHICHAGE, LLC 21-AS-03

Order 2021-157 is a settlement agreement with Ameristar Casino and includes six counts. In the first and second count, the casino violated the rules and their internal control procedures on child support arrears delinquency reporting (CSADR). In the third count, the casino violated the rules of the soft count process. In the fourth count, the casino violated the rules on variances. In the fifth, the casino failed to enter progressive wagers. In and the sixth, the casino violated the rules of live gaming device fills. Ameristar agreed to a monetary settlement of \$43,500 in lieu of disciplinary action.

## ORDER 2021-158 IN RE SETTLEMENT AGREEMENT BELTERRA RESORT INDIANA, LLC 21-BT-03

Order 2021-158 is a settlement agreement with Belterra Resort Indiana, LLC and includes four counts. In the first count, the casino violated the rules and their internal control procedures on child support arrears delinquency reporting (CSADR). In count two, the casino had an electronic gaming device with an inaccurate payout amount being displayed. In the third count, the casino failed to notify the Commission of a terminated employee. In the fourth count, the casino failed to maintain a legible vendor log. Belterra Resort Indiana agreed to a monetary settlement of \$5,500 in lieu of disciplinary action.

## ORDER 2021-159 IN RE SETTLEMENT AGREEMENT BLUE CHIP CASINO LLC 21-BC-03

Order 2021-159 is a settlement agreement with Blue Chip Casino and includes eight counts. In the first count, the casino violated the rules and their internal control procedures of the cage in failing to request photos from surveillance when required for cash transactions over \$3,000. In the second count, the casino violated their internal controls for TITO redemptions. In the third count, the casino violated the rules and their internal control procedures on child support arrears delinquency reporting (CSADR). In the fourth count, the casino violated the bill validator drop procedures. In the fifth count, the casino failed to timely notify the Commission of terminations, transfers and properly secure a gaming license for employees working in positions deemed to require a gaming license. In the sixth count, the casino violated the rules and their internal control procedures of requiring two security officers be stationed at the casino turnstiles. In the seventh count, the casino failed to follow the rules pertaining to an EGD move and proper surveillance coverage of an EGD progressive. The eighth count, the casino violated the rules of live gaming device fills. Blue Chip Casino agreed to a monetary settlement of \$29,000 in lieu of disciplinary action.

# ORDER 2021-160 IN RE SETTLEMENT AGREEMENT CAESARS RIVERBOAT CASINO, LLC d/b/a CAESARS SOUTHERN INDIANA 21-CS-03

Order 2021-160 is a settlement agreement with Caesars Riverboat Casino and includes eight counts. In the first count, the casino violated the rules and their internal control procedures on child support arrears delinquency reporting (CSADR). In the second count the casino violated the rules and their internal controls of the soft count process. In the third count, the casino allowed an underage person on the casino floor. In the fourth count, the casino failed to properly follow sensitive key procedures. In the fifth count, the casino failed to properly transfer progressive EGD funds. In the sixth count, the casino failed to properly close a live gaming device. In the seventh count, the casino failed to notify surveillance prior to a table fill. The eighth count, the casino failed to properly enforce vendor log procedures and memorandums issued by the Commission. Caesars Riverboat Casino agreed to a monetary settlement of \$49,500 in lieu of disciplinary action.

## ORDER 2021-161 IN RE SETTLEMENT AGREEMENT FRENCH LICK RESORT CASINO 21-FL-03

Order 2021-161 is a settlement agreement with French Lick Casino and includes four counts. In the first count, the casino violated the rules and their internal control procedures on child support arrears delinquency reporting (CSADR). In count two, the casino violated the rules of accepting tips and gratuities along with properly completing a table fill. In the third count, the casino violated the policy for manually paid jackpots. In the fourth count, the casino allowed an underage person on the casino floor. French Lick Casino agreed to a monetary settlement of \$9,000 in lieu of disciplinary action.

### ORDER 2021-162 IN RE SETTLEMENT AGREEMENT HARD ROCK CASINO NORTHERN INDIANA 21-HR-01

Order 2021-162 is a settlement agreement with Hard Rock Casino Northern Indiana and includes seven counts. In the first count, the casino violated the rules and their internal control procedures on child support arrears delinquency reporting (CSADR). In the second count, the casino allowed an underage person on the casino floor on three separate dates. In the third count, the casino failed to properly transfer progressive EGD funds. In the fourth count, the casino violated the table fill procedures. In the fifth count, the casino failed to properly issue vendor badges. In the sixth count, the casino failed to properly maintain the vendor log. In the seventh count, the casino violated the rules and their internal control procedures for Voluntary Excluded Persons. Hard Rock Casino Northern Indiana agreed to a monetary settlement of \$62,500 in lieu of disciplinary action.

# ORDER 2021-163 IN RE SETTLEMENT AGREEMENT HOOSIER PARK, LLC d/b/a HARRAH'S HOOSIER PARK 21-HP-03

Order 2021-163 is a settlement agreement with Harrah's Hoosier Park and includes eight counts. In the first count, the casino violated the rules and their internal control procedures on child support arrears delinquency reporting (CSADR). In the second count, the casino violated the rules and their internal controls for the soft count process and bill validator boxes. In the third count, the casino failed to properly report taxes and earned revenue. In the fourth count, the casino failed to notify the Commission of known criminal and

regulatory violations. In the fifth count, the casino violated the rules on coin testing electronic gaming devices. In the sixth count, the casino failed to save surveillance coverage of known criminal activity. In the seventh count, the casino violated the rules on close live gaming devices and live gaming device fills. The eighth count, the casino failed to timely notify the Commission of a separation of service. Hoosier Park agreed to a monetary settlement of \$29,000 in lieu of disciplinary action.

# ORDER 2021-164 IN RE SETTLEMENT AGREEMENT INDIAN GAMING COMPANY, LLC d/b/a HOLLYWOOD CASINO LAWRENCEBURG 21-HW-03

Order 2021-164 is a settlement agreement with Hollywood Casino Lawrenceburg and includes four counts. In the first count, the casino violated the rules and their internal control procedures on child support arrears delinquency reporting (CSADR). In count two, the casino violated the rules on coin testing electronic gaming devices. In the third count, the casino failed to timely notify the Commission of a terminated employee. In the fourth count, the casino violated the rules on the vendor log. Hollywood Casino Lawrenceburg agreed to a monetary settlement of \$11,500 in lieu of disciplinary action.

## ORDER 2021-165 IN RE SETTLEMENT AGREEMENT HORSESHOE HAMMOND 21-HH-03

Order 2021-165 is a settlement agreement with Horseshoe Hammond, LLC and includes four counts. In the first count, the casino violated the rules and their internal control procedures on child support arrears delinquency reporting (CSADR). In count two, the casino failed to collect live gaming devices. In the third count, the casino violated the procedures for manually paid jackpots. In the fourth count, casino violated the rules on live gaming device fills. Horseshoe Hammond agreed to a monetary settlement of \$13,000 in lieu of disciplinary action.

# ORDER 2021-166 IN RE SETTLEMENT AGREEMENT CENTAUR ACQUISITION, LLC d/b/a INDIANA GRAND RACING & CASINO 21-IG-03

Order 2021-166 is a settlement agreement with Indiana Grand Racing & Casino and includes four counts. In the first count, the casino violated the rules and their internal control procedures on child support arrears delinquency reporting (CSADR). In the second

count, the casino violated bill validator drop procedures. In the third count, the casino the casino violated the rules on coin testing electronic gaming devices. In the fourth count, the casino violated the rules on table fills. Indiana Grand Racing & Casino agreed to a monetary settlement of \$14,500 in lieu of disciplinary action.

# ORDER 2021-167 IN RE SETTLEMENT AGREEMENT GAMING ENTERTAINGMENT (INDIANA), LLC d/b/a RISING STAR CASINO RESORT 21-RR-03

Order 2021-167 is a settlement agreement with Gaming Entertainment, LLC d/b/a Indiana Rising Star Casino Resort and includes four counts. In the first count, the casino violated the rules and their internal control procedures on child support arrears delinquency reporting (CSADR). In the second count, the casino violated the rules and procedures for currency transactions in failing to properly report on the multiple transaction log (MTL). In the third count, the casino violated the procedures for manually paid jackpots. In the fourth count, the casino failed to notify surveillance prior to the collection of tip boxes and failed to properly close a live gaming device. Rising Star Casino Resort agreed to a monetary settlement of \$21,000 in lieu of disciplinary action.

# ORDER 2021-168 IN RE SETTLEMENT AGREEMENT AZTAR INDIANA GAMING CO., LLC d/b/a TROPICANA EVANSVILLE 21-TE-03

Order 2021-168 is a settlement agreement with Tropicana Evansville and includes four counts. In the first count, the casino violated the rules and their internal control procedures on child support arrears delinquency reporting (CSADR). In the second count, the casino allowed an employee to work on an expired license for thirteen (13) days. In the third count, the casino allowed underage persons on the casino floor. In the fourth count, the casino failed to notify surveillance prior to EGD drops and jackpot payouts, and the failed to properly install a reel strip, properly secure a progressive controller. In the fifth count, the casino allowed a dealer without proper training to deal Craps and violated the rules on table fills. Tropicana Evansville agreed to a monetary settlement of \$22,000 in lieu of disciplinary action.

**Sports Wagering Vendor License Matters** 

Disciplinary Actions:

# ORDER 2021-169 IN RE SETTLEMENT AGREEMENT PENN SPORTS INTERACTIVE, LLC d/b/a BARSTOOL SPORTSBOOK 21-PSI-01

Order 2021-169 is a settlement agreement with Penn Sports Interactive, LLC d/b/a Barstool Sportsbook and includes two counts. In the first count, the vendor violated the rules of prohibited sports wagering participants program. In the second count, the vendor violated the rules of advertising and marketing materials published, aired, displayed, or distributed by or on behalf of any sports wagering operator. Penn Sports Interactive agreed to a monetary settlement of \$12,500 in lieu of disciplinary action.

## ORDER 2021-170 IN RE SETTLEMENT AGREEMENT BARTSOOL SPORTS INC. 21-BS-01

Order 2021-170 is a settlement agreement with Barstool Sports Inc. and includes one count. The vendor violated the rules of advertising and marketing materials published, aired, displayed, or distributed by or on behalf of any sports wagering operator. Barstool Sports, Inc. agreed to a monetary settlement of \$10,000 in lieu of disciplinary action

# ORDER 2021-171 IN RE SETTLEMENT AGREEMENT CHURCHHILL DOWNS INTERACTIVE GAMING, LLC d/b/a CDIG 21-CDIG-02

Order 2021-171 is a settlement agreement with Churchill Downs Interactive and includes two counts. In the first count, the vendor failed to timely renew licensees. In the second count, the vendor violated the rules of sports wagering self-imposed limitations. Church Hill Downs agreed to a monetary settlement of \$4,500 in lieu of disciplinary action.

# ORDER 2021-172 IN RE SETTLEMENT AGREEMENT CROWN GAMING LLC d/b/a DRAFTKINGS 21-DK-03

Order 2021-172 is a settlement agreement with Crown Gaming and includes one count. In count one, the vendor failed to properly report and file state form RG-1. Crown Gaming agreed to a monetary settlement of \$10,000 in lieu of disciplinary action.

# ORDER 2021-173 IN RE SETTLEMENT AGREEMENT BETFAIR INTERACTIVE US, LLC d/b/a FANDUEL SPORTSBOOK 21-FD-02

Order 2021-173 is a settlement agreement with Betfair Interactive and includes one count. In count one, the vendor failed to terminate accounts of sports wagering patrons that establishes or seeks to establish multiple active accounts. Betfair Interactive agreed to a monetary settlement of \$3,000 in lieu of disciplinary action.

ORDER 2021-174
IN RE SETTLEMENT AGREEMENT
CENTAUR ACQUISITION, LLC
d/b/a WINNER'S CIRCLE OFF TRACK
BETTING, CLARKSVILLE
21-OTB-CV-03

Order 2021-174 is a settlement agreement Winner's Circle and includes three counts. In the first count, the OTB violated the rules and their internal control procedures on child support arrears delinquency reporting (CSADR). In the second count, the OTB allowed an underage person on the gaming floor. In the third count, the OTB violated the rules and internal control procedures for the multiple transaction log (MTL). Winners Circle agreed to a monetary settlement of \$6,000 in lieu of disciplinary action.

ORDER 2021-175
IN RE SETTLEMENT AGREEMENT
POINTSBET INDIANA, LLC
d/b/a POINTSBET
21-PB-02

Order 2021-175 is a settlement agreement with Pointsbet Indiana and includes three counts. In the first and second count, the vendor violated the rules and their internal control procedures for the Change Management Policy. In the third count, the vendor violated the rules and its internal controls for voluntarily excluded persons. Pointsbet agreed to a monetary settlement of \$4,500 in lieu of disciplinary action.

ORDER 2021-176
IN RE SETTLEMENT AGREEMENT
BETMGM, LLC
d/b/a ROAR DIGITAL
21-ROAR-02

Order 2021-176 is a settlement agreement with Roar Digital and includes four counts. In the first count, the vendor failed to timely apply for licensure to the Commission. The second count, the vendor violated the rules on past posting. In the third count, the vendor

violated the rules and its internal controls for voluntarily excluded persons. In the fourth count, the vendor violated the rules of occupational licensee suitability for licensure. Roar Digital agreed to a monetary settlement of \$42,000 in lieu of disciplinary action.

# ORDER 2021-177 IN RE SETTLEMENT AGREEMENT RUSH STREET INTERACTIVE, LLC d/b/a BETRIVERS 21-RS-03

Order 2021-177 is a settlement agreement with Betrivers and includes two counts. In the first count, the vendor failed to timely notify the Commission of position changes. In the second count, the vendor failed to timely notify the Commission of terminations. Betrivers agreed to a monetary settlement of \$3,000 in lieu of disciplinary action.

# ORDER 2021-178 IN RE SETTLEMENT AGREEMENT SCORE DIGITAL SPORTS VENTURES, INC. d/b/a THESCORE BET 21-SD-01

Order 2021-178 is a settlement agreement with The Score Bet and includes one count. The vendor failed to timely notify the Commission of terminations. The Score Bet agreed to a monetary settlement of \$1,500 in lieu of disciplinary action.

## ORDER 2021-179 IN RE SETTLEMENT AGREEMENT UNIBET INDIANA, LLC 21-UB-02

Order 2021-179 is a settlement agreement with Unibet Indiana and includes one count. The vendor violated the rules and their internal controls on geolocation. Unibet Indiana agreed to a monetary settlement of \$2,500 in lieu of disciplinary action.

# ORDER 2021-180 IN RE SETTLEMENT AGREEMENT AMERICAN WAGERING, INC. d/b/a WILLIAM HILL SPORTS BOOK 21-AW-01

Order 2021-180 is a settlement agreement with William Hill Sports Book and includes one count. In count one, the vendor violated the rules of the Change Management Policy. William Hill Sports Book agreed to a monetary settlement of \$3,000 in lieu of disciplinary action.

<u>Action:</u> Upon motion by Chuck Cohen, second by Susan Williams, and unanimous vote of those present, the Commission approved the settlement agreements by adopting Order 2021-145 through 2021-180.

### **Occupational Licensees**

### Felony Waiver:

## ORDER 2021-181 AN ORDER OF THE INDIANA GAMING COMMISSION REGARDING REQUEST FOR WAIVER OF FELONY DISQUALIFICATION FOR TERRY PAGE

Action: Upon motion by Marc Fine, second by Susan Williams, and unanimous vote of those present, the Commission approved the request by adopting Order 2021-181

### **Disciplinary Actions – Application Denial:**

### ORDER 2021-182 AN ORDER CONCERNING ARTHUR BRIIGS APPLICATION FOR PERMANENT OCCUPATIONAL LICENSE

### ORDER 2021-183 AN ORDER CONCERNING DANIEL TORRES APPLICATION FOR PERMANENT OCCUPATIONAL LICENSE

### ORDER 2021-184 AN ORDER CONCERNING JENNIFER MEDRANO APPLICATION FOR PERMANENT OCCUPATIONAL LICENSE

<u>Action:</u> Upon motion by Chuck Cohen, second by Susan Williams, and unanimous vote of those present, the Commission denies the applicants' applications for permanent licensure by adopting Orders 2021-182 through 2021-184.

### **Disciplinary Action:**

### ORDER 2021-185 AN ORDER OF THE INDIANA GAMING COMMISSION APPROVING THE SETTLEMENT AGREEMENT WITH CASEY BOYD

### ORDER 2021-186

### AN ORDER OF THE INDIANA GAMING COMMISSION APPROVING THE SETTLEMENT AGREEMENT WITH ZACHARY MAYRINA

#### ORDER 2021-187

### AN ORDER OF THE INDIANA GAMING COMMISSION APPROVING THE SETTLEMENT AGREEMENT WITH ANTONISHA HOLDER

#### **ORDER 2021-188**

### AN ORDER OF THE INDIANA GAMING COMMISSION APPROVING THE SETTLEMENT AGREEMENT WITH DARON WILLIAMS

<u>Action:</u> Upon motion by Marc Fine, second by Chuck Cohen, and unanimous vote of those present, the Commission approved the settlement agreements by adopting Orders 2021-185 through 2021-188.

### **Rules and Resolutions:**

### **License Transfers:**

### **RESOLUTION 2021-189**

A RESOLUTION UPDATING AUTHORITY PREVIOUSLY GRANTED TO THE EXECUTIVE DIRECTOR TO WAIVE REQUIREMENTS AND PROCEDURES OF 68 IAC 5-1 AND 68 IAC 5-2 IN TRANSFERS INVOLVING TWO LICENSED ENTITIES

<u>Action:</u> Upon motion by Susan Williams, second by Marc Fine, and unanimous vote of those present, the Commission adopted Resolution 2021-189.

### 2021 Indiana Admin Code Readopts:

### RESOULTION 2021-190 A RESOLUTION READOPTING EXPIRING RULES

### RESOULTION 2021-191 RESOULTION ADOPTING AN EMERGENCY RULE REGARDING CASINO OWNER'S LICENSEES AND OWNERSHIP

<u>Action:</u> Upon motion by Chuck Cohen, second by Susan Williams, and unanimous vote of those present, the Commission adopted Resolution 2021-190 and 2021-191.

### Casino Matters:

### Casino Financings:

#### **ORDER 2021-192**

AN ORDER OF THE INDIANA GAMING COMMISSION APPROVING A DEBT TRANSACTION PROPOSED BY BALLY'S CORPORATION

#### ORDER 2021-193

AN ORDER OF THE INDIANA GAMING COMMISSION APPROVING A DEBT TRANSACTION PROPOSED BY CAESARS ENTERTAINMENT, INC.

#### ORDER 2021-194

AN ORDER OF THE INDIANA GAMING COMMISSION APPROVING A DEBT TRANSACTION PROPOSED BY PENN NATIONAL GAMING, INC.

<u>Action:</u> Upon motion by Susan Williams, second by Chuck Cohen, and unanimous vote of those present, the Commission approved the proposed debt transaction by adopting Order 2021-192 through 2021-194.

### Presentation:

Presentation was given to the Commission by Ted Hovich and Brenda Temple on behalf of Boyd Gaming.

### Meeting Adjournment:

The next meeting of the Indiana Gaming Commission is November 17, 2021, with the time to be determined. Upon motion by Jason Dudich second by Susan Williams, and a unanimous vote of those present, the meeting was adjourned at 3:17 p.m. local time.

Respectfully submitted,

Tiffany Corbin

THE INDIANA GAMING COMMISSION:

Michael B. McMains, Chairman Date

Jason Dudich, Secretary

Date