INDIANA GAMING COMMISSION
BUSINESS MEETING

November 17, 2016
History Reference Room of the Indiana State Library
Indianapolis, Indiana

Call to Order and Roll Call:

Chairman Cris Johnston called the meeting to order at 2:04 p.m. local time. A quorum was present.

Present:

Commission members: Cris Johnston, Chairman, Marc Fine, Vice Chairman, Joseph Svetanoff, Secretary, Susan Williams, Charles M. Herndon, Jane Saxon, and Rich McClain. Commission staff: Sara Tait, Jenny Reske, Greg Small, Chris Gray, Natalie Raver, Kimi Simpson, Angela Bunton, Audrey Courter, Shadi Lilly, Noah Jackson, Dennis Mullen, Rob Townsend, Sherry Green, Robert Paugh, Tom Stuper, Danielle Leek, Garth Brown, Patrick Rhodes, Diane Freeman, and members of the public.

Approval of the Minutes:

Upon motion by Mike Herndon, second by Joseph Svetanoff, and unanimous vote of those present, the Commission approved the proposed minutes from the September 8, 2016 Business Meeting.

Introduction of Commissioner Jane Saxon

Report of the Executive Director:

The Executive Director began her report welcoming the newest Commissioner, Jane Saxon, and introducing and recognizing Stacey Niccum, the current legal intern.

Background and Financial Investigation Divisions conducted a re-investigation of suppliers Aristocrat, Midwest Game Supply, Gaming Partners International, Bally Gaming, Gaming and Leisure Properties, U.S. Playing Card and casino licensee, Majestic Star.

Waiver of Rules and Regulations:

Belterra: Granted on-going waiver for the use of an RFID table and cards for the final table at the Heartland Poker Tournament. Additionally in relation to the Heartland Poker Tournament, certain licensing requirements for the traveling dealers have been waived.

Blue Chip: Allowed to coin test 50% of the slot machines in each area after the machine bases were replaced.

Hollywood: Allowed to place a sticker on the Electronic Table Game Roulette machines to inform the players that the promo play on the machines is not available. Additionally, Hollywood is allowed to redeem the tickets, for Slot Floor persons only, once per week when the tips are dropped and counted.

Horseshoe Hammond and Horseshoe Southern Indiana: Granted relief from maintaining a live gaming device log. In lieu of the log, for future shipments of live gaming devices, documentation of the shipment will be retained for 5 years.

Tropicana: Allowed to place a sticker on certain electronic gaming devices to clarify the minimum bet to the player. Tropicana is additionally granted relief from a thirty day notice regarding the transfer of a progressive jackpot.

Casino Association: Granted an increase to the aggregate amount for checks cashed utilizing a check guarantee service $30,000.

Since the May 2016 Business Meeting, Commission staff has added 15 individuals to the Exclusion List, which effectively and permanently bars those patrons from entering any casino in Indiana.

Of those 15 individuals, one was placed on the exclusion list for past-posting, pinching, or capping bets. 8 were placed on the exclusion list for taking illegal possession of cell phones, cash, or credits in excess of approximately $500. 3 were placed on the exclusion list for conducting jackpot switches or using false identification to claim a jackpot. One was placed on the exclusion list for conducting fraudulent credit card advances. One individual was placed on the exclusion list for structuring his chip redemption in a manner to avoid the creation of certain records and reports required under the Bank Secrecy Act. The final individual, a dealer, was placed on the exclusion list for using his position to steal chips. He was subsequently terminated from his position. For the year 2016, the Indiana Gaming Commission has placed 119 patrons on the Exclusion List, bringing the total to 630 individuals who are barred from Indiana casinos and racinos.

The Executive Director then provided the Commissioners with an update concerning the Lawrenceburg LDA matter, in that the appropriate easements have been secured and recorded.

Old Business: No old business to report.

New Business

Patron Matters

Voluntary Exclusion Program:

Orders Concerning
The Voluntary Exclusion Program

2016-215	**2016-223**
2016-216	**2016-224**
2016-217	**2016-225**
2016-218	**2016-226**
2016-219	**2016-227**
2016-220	**2016-228**
2016-221	**2016-229**
2016-222	

Order 2016-215 approved the remittance of winnings by VEP participant John Doe #102 in the amount of $3,180.00. Belterra withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2016-216 approved the remittance of winnings by VEP participant John Doe #103 in the amount of $90.00. Blue Chip Casino withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2016-217 approved the remittance of winnings by VEP participant John Doe #104 in the amount of $882.08. Blue Chip Casino withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2016-218 approved the remittance of winnings by VEP participant John Doe #105 in the amount of $68.50. Hollywood Lawrenceburg withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2016-219 approved the remittance of winnings by VEP participant John Doe #106 in the amount of $939.93. Hollywood Lawrenceburg withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2016-220 approved the remittance of winnings by VEP participant John Doe #107 in the amount of $2,700.00. Hollywood Lawrenceburg withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2016-221 approved the remittance of winnings by VEP participant John Doe #108 in the amount of $926.45. Horseshoe Hammond withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2016-222 approved the remittance of winnings by VEP participant John Doe #109 in the amount of $2,595.46. Horseshoe Hammond withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2016-223 approved the remittance of winnings by VEP participant John Doe #110 in the amount of $1,100.00. Horseshoe Hammond withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2016-224 approved the remittance of winnings by VEP participant John Doe #111 in the amount of $90.00. Horseshoe Hammond withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2016-225 approved the remittance of winnings by VEP participant John Doe #112 in the amount of $2,239.37. Indiana Grand Racing & Casino withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2016-226 approved the remittance of winnings by VEP participant John Doe #113 in the amount of $1,736.31. Indiana Grand Racing & Casino withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2016-227 approved the remittance of winnings by VEP participant John Doe #114 in the amount of $1,222.00. The Majestic Star Casino withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2016-228 approved the remittance of winnings by VEP participant John Doe #115 in the amount of $6,435.00. The Majestic Star Casino withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2016-229 approved the remittance of winnings by VEP participant John Doe #116 in the amount of $5.25. Rising Star Casino Resort withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Action: Upon motion by Marc Fine, second by Joseph Svetanoff, and unanimous vote of those present, the Commission approved Order 2016-215 through Orders 2016-229.

ORDER 2016-230
AN ORDER OF THE INDIANA GAMING COMMISSION CONCERNING THE SETTLEMENT AGREEMENT OF THE APPEAL OF THE VOLUNTARY EXCLUSION REMITTANCE OF JOHN DOE #16-47

ORDER 2016-231
AN ORDER OF THE INDIANA GAMING CONCERNING THE SETTLEMENT AGREEMENT OF THE APPEAL OF THE VOLUNTARY EXCLUSION REMITTANCE OF JOHN DOE #16-73

Action: Upon motion by Marc Fine, second by Susan Williams, and unanimous vote of those present, the Commission approved Order 2016-230 and Order 2016-231.

ORDER 2016-232
AN ORDER OF THE INDIANA GAMING COMMISSION CONCERNING AMIE TRAN'S PLACEMENT ON THE STATEWIDE EXCLUSION LIST

Action: Upon motion by Mike Herndon, second by Joseph Svetanoff, and unanimous vote of those present, the Commission approved Order 2016-232.

Supplier's License Matters:

ORDER 2016-233
AN ORDER OF THE INDIANA GAMING COMMISSION CONCERNING THE RENEWAL OF SUPPLIER'S LICENSES

An Order of the Indiana Gaming Commission Concerning the Renewal of Supplier's Licenses:

1) American Gaming Systems, LLC: valid November 12, 2016, through November 11, 2017;
2) Aristocrat Technologies, Inc.: valid November 9, 2016, through November 8, 2017;
3) Cummins-Allison Corp.: valid November 21, 2016, through November 20, 2017;
4) GLP Capital, LP: valid September 19, 2016, through September 18, 2017; and
5) Global Surveillance Associates, Inc.: valid October 1, 2016, through September 30, 2017.

Action: Upon motion by Marc Fine, second by Susan Williams, and unanimous vote of those present, the Commission granted renewal of the supplier licenses for a period of one year by adopting Order 2016-233.

ORDER 2016-234

AN ORDER OF THE INDIANA GAMING COMMISSION APPROVING TRANSFER OF OWNERSHIP OF THE UNITED STATES PLAYING CARD COMPANY TO NEWELL BRANDS INC.

Newell Brands Inc. ("Newell") recently informed the Commission of its planned acquisition of The United States Playing Card Company ("USPC") and submitted a request for approval of a transfer of ownership.

Action: Upon motion by Joseph Svetanoff, second by Mike Herndon, and unanimous vote of those present, In accordance with and subject to the conditions set forth herein, the Commission hereby approves the proposed transfer of ownership interest in USPC to Newell by adopting Order 2016-234.

ORDER 2016-235
WAIVING THE APPLICATION OF 68 IAC 5-1 TO A TRANSFER OF OWNERSHIP IN BALLY – DEQ BY SCIENTIFIC GAMES

The proposed transfer of ownership involves the purchase by Scientific Games Corporation (Scientific Games) of the shares of DEQ Systems Corporation (DEQ). Scientific Games, the parent company of Bally Gaming, Inc., has agreed to purchase all of the outstanding shares of DEQ, and DEQ will become a wholly owned subsidiary of Bally Gaming, Inc. Bally Gaming, Inc. and DEQ are licensed Suppliers in Indiana. The requirements of 68 IAC 5-1 are impractical and burdensome to this transfer, and waiver is in the best interest of the public and the gaming industry and is not outside the technical requirements necessary to serve the purpose of the transfer regulations.

Action: Upon motion by Joseph Svetanoff, second by Jane Saxon, and unanimous vote of those present, the commission hereby waives 68 IAC 5-1 as necessary to allow the proposed transfer of ownership interest in DEQ to Scientific Games by adopting Order 2016-235.

ORDER 2016-236
IN RE SETTLEMENT AGREEMENT
GAMING PARTNERS INTERNATIONAL, USA, INC.
16-GPI-02

A settlement agreement was reached with Gaming Partners International which the supplier shipped a live gaming device without proper notification. Gaming Partners International has agreed to a total monetary settlement of $1,500 in lieu of disciplinary action.

Action: Upon motion by Marc Fine, second by Mike Herndon, and unanimous vote of those present, the Commission the Commission approved the settlement agreement by adopting Order 2016-236.

Occupational Licensees:

ORDER 2016-237
AN ORDER OF THE INDIANA GAMING COMMISSION
APPROVING THE SETTLEMENT AGREEMENT WITH RICHARD GREENE

Action: Upon motion by Marc Fine, second by Jane Saxon, and unanimous vote of those present, the Commission approved the settlement agreement by adopting Order 2016-237.

ORDER 2016-238
AN ORDER CONCERNING TERA CAMPLIN'S APPLICATION
FOR PERMANENT OCCUPATIONAL LICENSE

ORDER 2016-239
AN ORDER CONCERNING MARVIN LINDSAY'S APPLICATION
FOR PERMANENT OCCUPATIONAL LICENSE

Action: Upon motion by Joseph Svetanoff, second by Susan Williams, and unanimous vote of those present, the Commission hereby deny both of the above Applicants' application for permanent licensure by adopting Order 2016-238 and Order 2016-239.

Casinos

Disciplinary Actions:

ORDER 2016-240
IN RE SETTLEMENT AGREEMENT
AMERISTAR CASINO EAST CHICAGO, LLC
16-AS-03

A settlement agreement with Ameristar Casino East Chicago, LLC wherein the casino failed to follow the rules of the game for baccarat. Ameristar has agreed to a total monetary settlement of $5,000 in lieu of disciplinary action.

ORDER 2016-241
IN RE SETTLEMENT AGREEMENT
BLUE CHIP CASINO, LLC
16-BC-02

A settlement agreement with Blue Chip Casino, LLC and includes two counts: Count 1: the casino violated the rules on sensitive keys; and Count 2: the casino violated the rules on the security of the progressive controller. Blue Chip has agreed to a total monetary settlement of $2,500 in lieu of disciplinary action.

ORDER 2016-242
IN RE SETTLEMENT AGREEMENT
FRENCH LICK RESORT•CASINO
16-FL-03

A settlement agreement with French Lick wherein, on two separate occasions, a W2-G was completed with incorrect information. French Lick has agreed to a total monetary settlement of $5,000 in lieu of disciplinary action.

ORDER 2016-243
IN RE SETTLEMENT AGREEMENT
INDIANA GAMING COMPANY, LLC
16-HW-04

A settlement agreement with Indiana Gaming Company, LLC ("Hollywood") wherein the casino allowed a vendor to enter the casino without a vendor badge. Hollywood has agreed to a total monetary settlement of $2,500 in lieu of disciplinary action.

ORDER 2016-244
IN RE SETTLEMENT AGREEMENT
CAESARS RIVERBOAT CASINO, LLC
16-CS-03

A settlement agreement with Caesars Riverboat Casino, LLC ("Horseshoe Southern Indiana") wherein the casino violated the rules on a table game fill. Horseshoe Southern Indiana has agreed to a total monetary settlement of $2,000 in lieu of disciplinary action.

ORDER 2016-245
IN RE SETTLEMENT AGREEMENT
THE MAJESTIC STAR CASINO, LLC
16-MS-04

A settlement agreement with Majestic Star Casino, LLC wherein the casino failed to secure playing cards. Majestic Star has agreed to a total monetary settlement of $3,000 and will submit a corrective action plan in lieu of disciplinary action.

ORDER 2016-246
IN RE SETTLEMENT AGREEMENT
GAMING ENTERTAINMENT (INDIANA), LLC
16-RR-03

A settlement agreement with Gaming Entertainment (Indiana), LLC ("Rising Star") wherein the casino failed to complete a form required by the IRS (CTR required after $10,000 threshold is met in 24 hour period). Rising Star has agreed to a total monetary settlement of $20,000 in lieu of disciplinary action.

ORDER 2016-247
IN RE SETTLEMENT AGREEMENT
AZTAR INDIANA GAMING CO., LLC
16-AZ-03

A settlement agreement with Aztar Indiana Gaming Co., LLC ("Tropicana") wherein the casino failed to secure a table game float. Tropicana has agreed to a total monetary settlement of $1,000 in lieu of disciplinary action.

Action: Upon motion by Marc Fine, second by Joseph Svetanoff, and unanimous vote of those present, the Commission adopted Order 2016-240 through Order 2016-247.

Renewals:

Order 2016-248
AN ORDER OF THE INDIANA GAMING COMMISSION RENEWING THE CASINO OWNER'S LICENSE AND POWER OF ATTORNEY FOR BELTERRA RESORT INDIANA, LLC

Action: Upon motion by Marc Fine, second by Mike Herndon, and unanimous vote of those present, the Commission adopts Order 2016-248 and grants renewal of Belterra Resort Indiana, LLC ("Belterra") casino owner's license for a period of one year subject to Belterra maintaining its casino gambling operation, riverboat, hotel, and pavilion in substantial compliance with all state and local laws, including but not limited to Commission regulations, resolutions, orders, and/or other directives relating to the casino owner's license or to the lawful operation or conduct of gaming in Indiana. The renewal of the casino owner's license is valid for a period of one year from October 23, 2016 through October 22, 2017. Furthermore, the Commission approves the renewal of the power of attorney identifying Mr. Ronald Gifford as the trustee-in-waiting for Belterra. The renewal expires upon effectiveness of a subsequent Commission order that either: (1) addresses the renewal of Belterra's casino owner's license; or (2) modifies or withdraws the approval of the power of attorney as approved herein.

ORDER 2016-249
AN ORDER OF THE INDIANA GAMING COMMISSION PROSPECTIVELY RENEWING THE CASINO OWNER'S LICENSE AND POWER OF ATTORNEY OF INDIANA GAMING COMPANY, LLC d/b/a HOLLYWOOD CASINO LAWRENCEBURG

Action: Upon motion by Marc Fine, second by Mike Herndon, and unanimous vote of those present, the Commission adopts Order 2016-249 and grants renewal of the casino owner's license for a period of one year subject to Indiana Gaming Company, LLC ("Hollywood Casino") maintaining its casino gambling operation, riverboat, hotel, and pavilion in substantial compliance with all state and local laws, including but not limited to, Commission regulations, resolutions, orders, and/or other directives related to the casino

owner's license, or to the lawful operation or conduct of gaming in Indiana. The renewal of the casino owner's license is valid for a period of one year from December 10, 2016, through December 9, 2017. Furthermore, the Commission approves the renewal of the power of attorney identifying Mr. Larry Kinser as trustee-in-waiting for Hollywood. The renewal expires upon effectiveness of a subsequent Commission order that either: (1) addresses the renewal of Hollywood's casino owner's license; or (2) modifies or withdraws the renewal of the power of attorney as approved herein.

ORDER 2016-250
AN ORDER OF THE INDIANA GAMING COMMISSION RENEWING THE CASINO OWNER'S LICENSE AND POWER OF ATTORNEY FOR CAESAR'S RIVERBOAT CASINO, LLC d/b/a HORSESHOE SOUTHERN INDIANA

Action: Upon motion by Marc Fine, second by Mike Herndon, and unanimous vote of those present, the Commission adopts Order 2016-250 and grants renewal of the casino owner's license for a period of one year subject to Caesar's Riverboat Casino, LLC ("Horseshoe Southern Indiana") maintaining its casino gambling operation, riverboat, hotel, and pavilion in substantial compliance with all state and local laws, including but not limited to, Commission regulations, resolutions, orders, and/or other directives related to the casino owner's license, or to the lawful operation or conduct of gaming in Indiana. The renewal of the casino owner's license is valid for a period of one year from November 16, 2016, through November 15, 2017. Furthermore, the Commission approves the renewal of the power of attorney identifying Mr. Thomas Thanas as trustee-in-waiting for Horseshoe Southern Indiana. The renewal expires upon effectiveness of a subsequent Commission order that either: (1) addresses the renewal of Horseshoe Southern Indiana casino owner's license; or (2) modifies or withdraws the renewal of the power of attorney as approved herein.

ORDER 2016-251
AN ORDER OF THE INDIANA GAMING COMMISSION PROSPECTIVELY RENEWING THE CASINO OWNER'S LICENSE AND POWER OF ATTORNEY FOR AZTAR INDIANA GAMING COMPANY, LLC d/b/a TROPICANA EVANSVILLE

Action: Upon motion by Marc Fine, second by Mike Herndon, and unanimous vote of those present, the Commission adopts Order 2016-251 and grants renewal of the casino owner's license for a period of one year subject to Aztar Indiana Gaming Company, LLC ("Tropicana") maintaining its casino gambling operation, riverboat, hotel, and pavilion in substantial compliance with all state and local laws, including but not limited to, Commission regulations, resolutions, orders, and/or other directives related to the casino owner's license, or to the lawful operation or conduct of gaming in Indiana. The renewal of the casino owner's license is valid for a period of one year from December 5, 2016, through December 4, 2017. Furthermore, the Commission approves the renewal of the power of attorney identifying Mr. Ron Gifford as trustee-in-waiting for Tropicana. The renewal expires upon effectiveness of a subsequent Commission order that either: (1)

addresses the renewal of Tropicana's casino owner's license; or (2) modifies or withdraws the renewal of the power of attorney as approved herein.

Financing:

ORDER 2016-252
AN ORDER OF THE INDIANA GAMING COMMISSION APPROVING A DEBT TRANSACTION PROPOSED BY PINNACLE ENTERTAINMENT, INC.

Action: Upon motion by Joseph Svetanoff, second by Marc Fine, and unanimous vote of those present, the Commission adopts Order 2016-252 and approves Pinnacle Entertainment, Inc's debt request, after considering the following:

1. Pinnacle Entertainment, Inc. ("Pinnacle"), which owns the Belterra and Ameristar casinos in Indiana, requested Commission approval to undertake debt activity that would be subject to Ind. Code § 4-33-4-21 and 68 IAC 5-3, along with a waiver of the applicable procedural requirements set forth in 68 IAC 5-3.
2. Pinnacle explained the details of the debt activity in confidential documents provided to the Commission.
3. The Commission has analyzed Pinnacle's request to undertake debt activity and the request for waiver of applicable procedural requirements.

Relocation of certain EGDs:

ORDER 2016-253
AN ORDER OF THE INDIANA GAMING COMMISSION REGARDING HOLLYWOOD CASINO LAWRENCEBURG'S REQUEST TO MOVE CERTAIN ELECTRONIC GAMING DEVICES PURSUANT TO IND. CODE § 4-33-6-24

Action: Upon motion by Marc Fine, second by Joseph Svetanoff, and unanimous vote of those present, the Commission approves the request by adopting Order 2016-253.

Rules:

RESOLUTION 2016-254
A RESOLUTION ADOPTING AN EMERGENCY RULE REGARDING PAID FANTASY SPORTS GAMES UNDER IND. CODE CH. 4-33-24

Action: Upon motion by Marc Fine, second by Susan Williams, and unanimous vote of those present, the Commission adopts Resolution 2016-254.

RESOLUTION 2016-255
A RESOLUTION READOPTING EXPIRING RULES

Action: Upon motion by Joseph Svetanoff, second by Mike Hernon, and unanimous vote of those present, the Commission adopts Resolution 2016-255.

Presentation:

Rising Star Christmas Casino Presentation:

Presentations by Mayor Brent Bascom of the city of Rising Sun and Alex Stolyar, the Senior Vice President of Rising Star.

Meeting Adjournment:

Upon a unanimous vote of those present, the meeting was adjourned at 2:59 p.m. local time.

Respectfully submitted,

Kimberlyn Simpson

THE INDIANA GAMING COMMISSION:

Cris Johnston, Chair Date

Joseph Svetanoff, Secretary 3/23/17 Date