

RESOLUTION 1993-8

A RESOLUTION TO AMEND RESOLUTION 1993-7  
AND ESTABLISH SANCTIONS FOR VIOLATIONS OF  
EX PARTE COMMUNICATION GUIDELINES

**WHEREAS**, the Indiana Gaming Commission ("the Commission") has the power and duty under IC 4-33-4-1 to fully and effectively execute IC 4-33 ("the Riverboat Gambling Law");

**WHEREAS**, the Commission has the power and duty to take any reasonable action to enforce the Riverboat Gambling Law;

**WHEREAS**, the Commission previously adopted Resolution 1993-7, establishing interim written guidelines which govern an applicant's ex parte communications with members of the Commission;

**WHEREAS**, Resolution 1993-7 did not include sanctions for violations of the interim guidelines and the Commission believes sanctions must be provided in order to effectively enforce the interim guidelines and maintain public trust while executing the Riverboat Gambling Law;

**WHEREAS**, the Commission finds that the Commission should act promptly to ensure to the public and to all applicants for licensure that ex parte communications will not be tolerated;

**NOW, THEREFORE, BE IT RESOLVED BY THE INDIANA GAMING COMMISSION, THAT THE FOLLOWING INTERIM WRITTEN GUIDELINES ARE ADOPTED:**

**"SECTION 6. SANCTIONS FOR VIOLATION OF EX PARTE COMMUNICATIONS GUIDELINES.**

Upon a determination by the Commission that an applicant has engaged in an ex parte communication with a member of the Commission, the Commission may take any action it deems appropriate, including:

- (A) denial of an applicant's application for licensure under IC 4-33;
- (B) revocation of a license issued under IC 4-33;
- (C) suspension of a license issued under IC 4-33;
- (D) pursuant to IC 4-33-4-2(6), imposition of a penalty of not more than Ten Thousand Dollars (\$10,000), which shall be collected from the applicant and deposited in the state gaming account; or
- (E) any combination of the penalties set forth in (A) through (D) of this SECTION."

SECTION 2.

SECTION 6 IS RENUMBERED AS SECTION 7.


ADOPTED, THIS THE 17<sup>th</sup> DAY OF SEPTEMBER, 1993:

THE INDIANA GAMING COMMISSION:



Alan I, Klineman, Chair

ATTEST:

  
Gene Hensley, Secretary