ORDER 2022-96 IN RE SETTLEMENT AGREEMENT

BETMGM, LLC d/b/a ROAR DIGITAL 22-ROAR-02

After having reviewed the attached Settlement Agreement, the Indiana Gaming Commission hereby:



APPROVES OR DISAPPROVES

the proposed terms of the Settlement Agreement.

IT IS SO ORDERED THIS THE 30th DAY OF JUNE, 2022.

THE INDIANA GAMING COMMISSION:

Milton O. Thompson, Chair

ATTEST:

Jason Dudich, Secretary

STATE OF INDIANA INDIANA GAMING COMMISSION

IN RE THE MATTER OF:)) SETTLEMENT
BETMGM, LLC d/b/a ROAR DIGITAL) 22-ROAR-02
d/b/a KUAK DIGITAL	,

SETTLEMENT AGREEMENT

The Indiana Gaming Commission ("Commission") by and through its Executive Director Greg Small and BetMGM, LLC d/b/a Roar Digital ("Roar Digital"), (collectively, the "Parties") desire to enter into this settlement agreement ("Agreement") prior to the initiation of a disciplinary proceeding pursuant to 68 IAC 13-1-18(a). The Parties stipulate and agree that the following facts are true:

FINDINGS OF FACT

COUNT I

- 68 IAC 27-1-2(16) defines a prohibited sports wagering participant as an individual listed on the commission's exclusion list kept under 68 IAC 6-1, that has a voluntarily excluded person (VEP) status as defined under 68 IAC 6-3 or has signed up for the statewide internet self-restriction program (ISRP).
- 2. 68 IAC 27-13-2(d) provides that sports wagering operators must restrict wagering by statewide Internet self-restriction participants and may not market to statewide Internet self-restricted participants.
- 3. 68 IAC 27-5-2 provides each sports wagering operator shall establish internal control procedures for compliance with this rule, which shall be submitted and approved under 68 IAC 11.
- 4. 68 IAC 27-5-2(F) provides the internal controls must, at a minimum, address that the sports wagering operator must prevent wagers from any prohibited sports wagering participant.
- 5. Roar Digital's approved internal control procedures, Section 11, describes the procedures for prohibited participants.
- 6. A Gaming Agent performed a prohibited participant audit for Roar Digital. The results of this audit found thirteen (13) prohibited participant omissions and three (3) prohibited participant discrepancies. A discrepancy is defined as an incorrect name and/or an incorrect date of birth. Failure to restrict a prohibited participant could result in in the prohibited participant creating an account and wagering.

COUNT II

- 7. IC 4-38-5-4(a) provides a certificate holder or vendor may accept wagers on professional and collegiate sporting events approved for sports wagering by the commission, and other events as approved by the commission.
- 8. 68 IAC 27-7-1 provides before accepting wagers on any event category from patrons, a sports wagering operator must receive event category approval from the executive director or the executive director's designee.
- 9. On October 21, 2021, Roar Digital notified the Commission that they had accepted wagers on an unapproved soccer league. The unapproved event was Union Insbruck vs. Zirlin in the Tirol Liga league, an Austrian football league. The unapproved event was part of a parlay wager. The parlay was settled as a loss and remained settled as a loss. The Tirol Liga is not a Commission approved league.

TERMS AND CONDITIONS

Commission staff alleges that the acts or omissions of Roar Digital by and through its agents as described herein constitute a breach of IC 4-38, 68 IAC, and/or Roar Digital's approved internal control procedures. The Commission and Roar Digital hereby agree to a monetary settlement of the alleged violations described herein in lieu of the Commission pursuing formal disciplinary action against Roar Digital.

Roar Digital shall pay to the Commission a total of \$11,750 (\$10,750 for Count I and \$1,000 for Count II) and ensure that all wagers placed on all unapproved events are voided and the original wager is returned to the player in consideration for the Commission foregoing disciplinary action based on the facts specifically described in the Findings of Fact contained in this Agreement. This Agreement extends only to those violations and findings of fact specifically alleged in the findings above. If the Commission subsequently discovers facts that give rise to additional or separate violations, the Commission may pursue disciplinary action for such violations even if the subsequent violations are similar or related to an incident described in the findings above.

Upon execution and approval of this Agreement, Commission staff shall submit this Agreement to the Commission for review and final action. Upon approval of the Agreement by the Commission, Roar Digital agrees to: 1) promptly remit payment in the amount of \$11,750; 2) ensure that all wagers placed on all unapproved events are voided and the original wager is returned to the player; and 3) waive all rights to further administrative or judicial review.

This Agreement constitutes the entire agreement between the Parties. No prior or subsequent understandings, agreements, or representations, oral or written, not specified or referenced within this document will be valid provisions of this Agreement. This Agreement may

not be modified, supplemented, or amended, in any manner, except by written agreement signed by all Parties.

This Agreement may be executed in multiple counterparts, each of which shall be deemed an original agreement and both of which shall constitute one and the same agreement. The counterparts of this Agreement may be executed and delivered by electronic mail, facsimile, or other electronic signature by either of the parties and the receiving party may rely on the receipt of such document so executed and delivered electronically as if the original had been received.

This Agreement shall be binding upon the Commission and Roar Digital.

IN WITNESS WHEREOF, the Parties have signed this Agreement on the date and year as set forth below.

Greg Small, Executive Director Indiana Gaming Commission

Date (c/ 71/7070)

Surah Brennan, Director of Compliance, Bet MGM, LLC d/b/a Roar Digital Adam Greenlast, CEO

6/17/2

Date