

**ORDER 2023-125  
IN RE SETTLEMENT AGREEMENT  
GAMING PARTNERS INTERNATIONAL  
USA, INC.  
23-GPI-01**

After having reviewed the attached Settlement Agreement, the Indiana Gaming Commission hereby:

**APPROVED**


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APPROVES OR DISAPPROVES

the proposed terms of the Settlement Agreement.

**IT IS SO ORDERED THIS THE 15<sup>th</sup> DAY OF SEPTEMBER, 2023.**

**THE INDIANA GAMING COMMISSION:**

  
Milton O. Thompson, Chair

ATTEST:

  
Jason Dudich, Secretary



6. On February 22, 2023, Commission staff and GPI communicate regarding the job duties of this position and the job description. The Commission reconfirmed to GPI that this position was required to hold a level one license.
7. On May 3, 2023, the Commission requested a status update for the PD1 application. GPI responded and advised that they would get everything signed, notarized and submitted by the middle of next week.
8. On May 30, 2023, GPI advised that the packet should be delivered by June 1, 2023.
9. On June 14, 2023, the Commission received the PD1 application. The Commission reached out to GPI and requested information on the payment of the PD1.
10. On June 19, 2023, the Commission advised GPI of issues with the PD1, including the missing payment. Payment was made later that day.
11. On June 19, 2023, GPI attempted to submit documents to the Commission, but only submitted one (1) item. GPI advised that they would re-upload the rest of the documents.
12. On June 30, 2023, the Commission requested a status update on the missing information. The Commission then updated the listing of additional information required.
13. On July 11, 2023, GPI submitted the missing information for the PD1.
14. On July 14, 2023, the Commission requested the job title for this position be added to LAM, the Commission's occupational licensing database. It is required that the job title be added to LAM before the PD1 application is submitted. GPI was able to add the job title and the individual was issued a temporary level one license.
15. The PD1 application was 347 days late. During this time, the individual worked in the position without licensure.

## COUNT II

16. 68 IAC 2-2-1(d) provides the applicant's key persons, substantial owners, and any other persons deemed necessary to allow the commission to ensure the applicant meets the statutory criteria for licensure set forth in IC 4-33, IC 4-35, and this title must complete and submit a Personal Disclosure Form 1 (PD1) application for occupational license under 68 IAC 2-3-1.

17. 68 IAC 2-2-6.1(a) provide that all key persons and substantial owners of supplier licensees and supplier license applicants must obtain a Level 1 occupational license.
18. On January 8, 2021, the Commission issued a directive to all Licensed Suppliers on Supplier Occupational Licensing that outlined the requirements for occupational licensing for Licensed Suppliers.
19. On August 19, 2022, GPI notified the Commission that a new individual was appointed, effective immediately, to the position of Director, and Director and Secretary. As a result, the PD1 application was due on September 19, 2022. Based on the Commission's licensing directive, this position is required to hold a level one license.
20. On February 6, 2023, the Commission inquired about the status of the PD1 application. GPI responded that they were unaware that the PD1 application was still outstanding. They stated they would prioritize it that week.
21. On May 3, 2023, the Commission inquired again about the status of the PD1 application. GPI provided that it took a while to gather all of the required documents since they have never completed an application this extensive before. GPI advised that they had all the documents and would get everything signed, notarized and submitted by the middle of next week.
22. On June 1, 2023, the Commission received the PD1 application and fingerprints, but no payment was received.
23. On June 9, 2023, the Commission inquired about additional exhibits that were missing from the PD1 application.
24. On June 14, 2023, the Commission inquired about the payment of the PD1 application.
25. On June 19, 2023, the Commission inquired again about the payment for the PD1 application. The payment was received that day.
26. On June 22, 2023, the Director was issued a temporary level one license.
27. The PD1 application was 273 days late. During this time, the Director worked in the position without licensure.

### TERMS AND CONDITIONS

Commission staff alleges that the acts or omissions of GPI by and through its agents as described herein constitute a breach of IC 4-33, IC 4-35, and/or 68 IAC. The Commission and GPI hereby agree to a monetary settlement of the alleged violations

described herein in lieu of the Commission pursuing formal disciplinary action against GPI.

GPI shall pay to the Commission a total of \$16,000 (\$8,000 for Count I and \$8,000 for Count II) in consideration for the Commission foregoing disciplinary action based on the facts specifically described in the Findings of Fact contained in this Agreement. This Agreement extends only to those violations and findings of fact specifically alleged in the findings above. If the Commission subsequently discovers facts that give rise to additional or separate violations, the Commission may pursue disciplinary action for such violations even if the subsequent violations are similar or related to an incident described in the findings above.

Upon execution and approval of this Agreement, Commission staff shall submit this Agreement to the Commission for review and final action. Upon approval of the Agreement by the Commission, GPI agrees to promptly remit payment in the amount of \$16,000 and shall waive all rights to further administrative or judicial review.

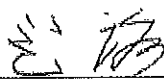
This Agreement constitutes the entire agreement between the parties. No prior or subsequent understandings, agreements, or representations, oral or written, not specified or referenced within this document will be valid provisions of this Agreement. This Agreement may not be modified, supplemented, or amended, in any manner, except by written agreement signed by all Parties.

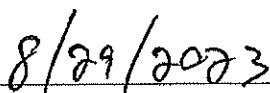
This Agreement may be executed in multiple counterparts, each of which shall be deemed an original agreement and both of which shall constitute one and the same agreement. The counterparts of this Agreement may be executed and delivered by electronic mail, facsimile, or other electronic signature by either of the parties and the receiving party may rely on the receipt of such document so executed and delivered electronically as if the original had been received.

This Agreement shall be binding upon the Commission and GPI.

IN WITNESS WHEREOF, the parties have signed this Agreement on the date and year as set forth below.

  
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Greg Smak, Executive Director  
Indiana Gaming Commission

  
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Hiroshi Matsumoto, Corporate  
Secretary and Director  
Gaming Partners International USA,  
Inc.

  
\_\_\_\_\_  
Date

August 22, 2023  
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Date